

The American College of Employee Benefits Counsel, Inc.
2017 Fellow Nomination Form

(See Instructions to the Form at the End of this Document)

NOMINATION OF: _____
(Nominee's Full Name)

LAW FIRM/EMPLOYER: _____

TELEPHONE NUMBER: _____

FAX NUMBER: _____

EMAIL ADDRESS: _____

NOMINATOR 1: _____
(Primary Sponsoring Nominator's Name)

LAW FIRM/EMPLOYER: _____

TELEPHONE NUMBER: _____

FAX NUMBER: _____

EMAIL ADDRESS: _____

NOMINATOR 2: _____
(Secondary Sponsoring Nominator's Name)

LAW FIRM/EMPLOYER: _____

TELEPHONE NUMBER: _____

FAX NUMBER: _____

EMAIL ADDRESS: _____

NOMINATION OF _____

Part A1 – To be filled out by Primary Sponsoring Nominator

1. Nominee's relationship to nominator [a Fellow may not nominate someone in his or her firm, a client of the Fellow, or a member of the Fellow's family]:
2. Length of time nominator has known nominee:
3. Circumstances under which nominator has become familiar with the qualifications of nominee:
4. Do you estimate that at least 50% of nominee's professional time, on average, is devoted to employee benefits practice? If nominee is a full-time professional arbitrator, mediator, or in academia see the instructions at the end of this form for an explanation of how these criteria may be met. (See instructions on page 9, #2.)
5. Do you believe that the nominee has been engaged in an employee benefits practice for 20 or more years since admission to the bar? (See instructions on page 9, #1 for an explanation of the criteria.)
6. Do you know of (1) any complaint that has been made against nominee to any ethics committee of the bar or of any professional disciplinary action against nominee or (2) any claim (whether or not the subject of a filing in an adjudicatory forum) for professional negligence or misfeasance against nominee? If so, describe the date of the complaint or claim, its nature, the name of the committee or adjudicatory forum (if any), and the disposition, and include any additional information about the complaint or claim that the College should consider.
7. Please describe in a meaningful and substantive manner why you believe that nominee has demonstrated a sustained commitment to the development and understanding of the law of

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employee benefits through such activities as writing, speaking, participating in public policy analysis, public education or public service, giving specific examples of service or achievements beyond either outstanding advocacy on behalf of clients or marketing activities that do not entail rigorous scholarship. This is your opportunity to “make the case” for the nominee to be admitted to the College.

8. Please indicate the category for which nominee should be considered:

- _____ **Fellow**
- _____ **Emeritus Fellow** (a person who meets the qualifications for a Fellow and who currently earns less than \$15,000 annually as an Employee Benefits Practitioner)
- _____ **Honorary Fellow** (a person who has provided outstanding public service in the field of employee benefits)
- _____ **In Memoriam Fellow** (deceased individual who met the qualifications for a Fellow during his or her period of active practice)

Certification: I certify that, to the best of my knowledge and belief, the foregoing information is true and nominee meets the qualifications for admission as a Fellow of the American College of Employee Benefits Counsel.

**Nominator
Signature:** _____

Date: _____

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Part A2 – To Be Completed by Second Sponsoring Nominator

1. Relationship to nominator [a Fellow may not nominate someone in his or her firm, a client of the Fellow, or a member of the Fellow’s family]:
2. Length of time nominator has known nominee:
3. Circumstances under which nominator has become familiar with the qualifications of nominee:
4. Please describe in a meaningful and substantive manner why you believe that nominee has demonstrated a sustained commitment to the development and understanding of the law of employee benefits through such activities as writing, speaking, participating in public policy analysis, public education or public service, giving specific examples of service or achievements beyond either outstanding advocacy on behalf of clients or marketing activities that do not entail rigorous scholarship. This is your opportunity to “make the case” for the nominee to be admitted to the College.
5. Do you agree with the responses to all of the other questions, above, given by the Primary Sponsoring Nominator? If not, please explain.

Certification: I certify that, to the best of my knowledge and belief, the foregoing information is true and nominee meets the qualifications for admission as a Fellow of the American College of Employee Benefits Counsel.

**Nominator
Signature:** _____

Date: _____

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Part B – To be filled out by nominee

1. Residence address, work address, telephone, fax, and e-mail address:
2. Place and date of birth:
3. Are you currently engaged in an employee benefits practice?
4. Please briefly describe the nature of your practice:
5. At least half of a Fellow's work must be devoted to employee benefits practice. Do you meet this standard?
6. State and year in which originally admitted to the Bar:
7. Subsequent state and federal admissions and the year of each admission:
8. Under the College By-Laws, Fellows must have had at least 20 years of employee benefits practice. For the year ending December 31, 2017, state the length of time you will have been engaged in employee benefits practice following admission to the Bar:

For Nominees who commenced their career as judicial law clerks and count that years(s) to meet their 20 year requirement, during your judicial clerkship you must have worked on one or more employee benefits matters that were before your jurist.
9. Do you know of any complaint that has been made against you to any ethics committee of the Bar, or of any disciplinary action against you? If so, state the date, the nature of the grievance, the name of the committee, the disposition of the complaint and fully explain the circumstances.
10. Please describe any claim made against you (whether or not the subject of a filing in an adjudicatory forum) for professional negligence or misfeasance, giving the

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date of the complaint or claim, its nature, the name of the committee or adjudicatory forum (if any), and the disposition, and any additional information about the matter that you would like the College to consider to explain the circumstances.

11. Undergraduate and legal education:
12. Employment history since admission to bar (nominee may also include any relevant pre-bar employment):
13. Professional organizations to which nominee has been admitted to membership:

Because election to the College is contingent on a concrete demonstration of a nominee's commitment to the development and understanding of the law of employee benefits, it is critical that the responses to questions 14 through 20 be as specific and detailed as possible and that they span the length of your career. Do not provide your biographical statement, resume, or curriculum vitae. It will not be considered.

You must give sufficient detail about your speaking, writing, teaching, or other activities so that the Board of Governors of the College can, on the face of your application, assess your credentials objectively and confirm that your activities were directly related to employee benefits (including executive compensation) rather than general tax, employment, trusts and estates, or other law.

The information provided should include a list of articles and speaking appearances and a description of or citation to the publications in which your articles appeared or specific forums at which you made listed presentations. If you participated in national, regional, or local benefits organizations, please provide details about your activities. Presentations to or articles written for clients or your law firm or employer should not be included and will not be considered.

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- 14. Service, including leadership positions, on boards of benefits-related, service, and community organizations:
- 15. Membership and leadership positions held in organizations related to employee benefits:
- 16. Membership positions held in bar associations and other organizations representing the legal profession:
- 17. Leadership positions held in bar associations and other organizations representing the legal profession:
- 18. Publications:
- 19. Speeches and presentations to audiences other than clients or your law firm or employer (list organization, topic and date for each speech or presentation):
- 20. Teaching and public service responsibilities:
- 21. Provide the reasons you think you have satisfied the requirements for election as a Fellow, *i.e.*, why you should be admitted as a Fellow:

<p>Certification: I certify that, to the best of my knowledge and belief, the foregoing information is true.</p> <p>Nominee Signature: _____</p> <p>Date: _____</p>
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**AMERICAN COLLEGE OF EMPLOYEE BENEFITS COUNSEL, INC.
2017 FELLOW NOMINATIONS**

Instructions:

These instructions are attached to the College's Nomination Form. After the completed form is received by the College, the candidacy of the nominated individual will be considered first by a Regional Advisory Panel which will make a recommendation to the Membership Committee which in turn will recommend candidates for membership in the College to the Board of Governors of the College. Under the College's By-Laws, the Board makes the final decision in selecting new Fellows.

To be considered for induction at the College's Annual Induction Ceremony to be held on October 14, 2017, in San Francisco, CA. Nomination Forms must be received by **June 30, 2017**. The completed form must be sent electronically to Howard Shapiro, Membership Committee Chair (howshapiro@proskauer.com). **All attachments to the form must be sent with the form in one single electronic document, not as separate forms. Incomplete or untimely Nomination Forms will not be considered.**

The Nomination Form is divided into two sections. The first section, which is marked Part A, is to be completed by the sponsoring nominators (a primary nominator and a second). To be considered for membership in the College, an individual must be nominated by **two people who are currently Fellows of the College in good standing. Neither of these nominators may be partners in, or be employed by, the same entity as nominee. Neither nominator may have a family relationship with nominee nor may nominee be a client of either nominator. A nominator may however be a client of nominee.**

After the primary nominator has completed the first section of Part A, he or she must forward the form to the secondary nominator to complete his or her section of Part A. Nominee should complete Part B and return the completed Part B to the primary nominator. The primary nominator is responsible for submitting the completed entire form with Parts A and B to Mr. Shapiro.

The nominator statements should be meaningful, substantive and complete. A nominator should not assume that Board members have personal knowledge of nominee. The nominators need to make the case as to why the nominee should be elected as a Fellow.

The two nominators and nominee must each sign their part of the form and the form sent electronically to Howard Shapiro. The form must be received by him no later than June 30, 2017. Mr. Shapiro's email address is: howshapiro@proskauer.com. (The College prefers electronic signatures, but will accept as a signature "/s/ (Name of Nominator/Nominee)".)

AMERICAN COLLEGE OF EMPLOYEE BENEFITS COUNSEL, INC.

2017 FELLOW NOMINATIONS

The qualifications for membership are set forth below:

1. No individual will be considered for the 2017 Induction Class if he or she had not been admitted to practice as of December 31, 1997. As of December 31, 2017, the individual must have at least 20 years experience as an employee benefits practitioner following admission to the practice of law, in the private sector (including law firm, in-house corporate, tax-exempt organization or consulting), government or academic setting.
2. The individual's professional time will have been spent primarily in the area of employee benefits; that usually will mean the individual will have performed (1) at least some employee benefits law work in each of the minimum of 20 years of practice, and (2) the total amount of work in employee benefits law over the minimum of 20 years of practice averages at least 50%. While benefits-related matters are unlikely to occupy more than half of the time of a full-time professional arbitrator/mediator, such an individual would be eligible for consideration as a Fellow if he or she has a special focus on and expertise in employee benefit issues and is well regarded among benefits practitioners for work in the employee benefits area. For those in academia, the individual must teach and write at least 50% of the time in the field of employee benefits. In order to count a judicial clerkship towards the 20 years of experience, you must have been involved in at least one employee benefits matter during your clerkship. In addition, if an individual is employed less than full-time, but meets the above criteria the individual will be eligible.
3. The individual has consistently exhibited exemplary character and ethical behavior.
4. The individual has demonstrated a sustained commitment to the development and pursuit of public awareness and understanding of the law of employee benefits, through such activities as writing, speaking, participating in public policy analysis, public education or public service and representation projects, and leadership in the employee benefits activities of bar associations or other professional organizations. Such activities do not include client or law firm or employer centered seminars and papers developed solely for client or law firm or employer use. The activities must be sustained over the course of the individual's career. We suggest that the individual list as many activities as possible along with specific details or an explanation of the scope of involvement. We strongly encourage nominees to provide a detailed history of their activities for at least 10 years. We caution nominees that providing information for less than 10 years or information that lacks specificity is very likely to be insufficient.
5. The individual is generally recognized by his or her peers for expertise in the benefits field and intellectual excellence.

NOMINATION OF _____

6. To become a Fellow, an individual who is invited to join the College in 2017 must attend the Induction Dinner on October 14, 2017, or, if not possible, must attend the 2018 Induction Dinner on a date and site to be announced later. Upon individual application, the Board of Governors of the College may waive the attendance requirement for Emeritus Fellows, or if the person is unable to attend due to religious observance.